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Reaction of dialkyl 2-butynoate with aniline and formaldehyde: revision of the structure of the product

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ABSTRACT

Dimethyl 3-(aryl)-3,6-dihydro-2*H*-1,3-oxazine-4,5-dicarboxylate structure assigned for the products obtained in the Bronsted acid catalyzed reaction of dimethyl but-2-ynoates with anilines and an excess of formaldehyde in methanol has been revised to methyl 1-(aryl)-3-(methoxymethyl)-4,5-dioxopyrrolidine-3-carboxylate.

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1. Introduction

Domino reactions including multi-component reactions have become very popular among synthetic chemists as a powerful tool to accomplish molecular complexity and diversity from readily accessible starting materials in one single operation.¹ Transition-metal-catalyzed multi-component reactions have attracted considerable attention due to the fact that complicated organic molecules including lead compounds for drugs can be easily prepared from simple compounds in one reaction sequence. The development of new multi-component reactions that allow assembly of polysubstituted heterocycles in a regioselective manner is in high demand, particularly, those avoiding transition metal catalysts and/or harsh conditions. In this context, three and four-component domino reactions involving dialkyl 2-butynoates with amines and aldehydes have generated useful heterocyclic systems, such as dihydropyrimidines and tri and tetrasubstituted pyrrolidinones. However, analogous extrapolation of a set of reactions, e.g., formation of azaheterocycles, to other set, e.g., formation of oxaheterocycles, without proper analysis of the spectral data may lead to wrong structures, as the reactions may take different mechanistic pathway. Herein, we report the structure revision of the dihydro-1,3-oxazines reported to be generated in the Bronsted acid catalyzed reaction of dialkyl 2-butynoates with one equivalent of aniline and an excess of formaldehyde.

2. Results and discussion

Reaction of dialkyl 2-butynoates with amines and aldehydes generated a number of products depending upon the ratio of the reagents involved and also the catalysts and conditions employed including solvents.^{2–6} For example, reaction of dialkyl butynoate 1 with one equivalent of aniline 2 (or a primary or secondary amine) in the absence of formaldehyde generates simple Michael addition product 3 (Eq. 1). Potassium hydroxide catalyzed reaction of 1 with one equivalent of aniline (or amine) and one equivalent of an aldehyde 4 in aqueous acetonitrile leads to the formation of 1,3oxazin-6-ones 5 (Eq. 2), whereas with two equivalents of aniline forms the 1,3-pyrimidin-4-ones 6 (Eq. 3). Acetic acid catalyzed reaction of 1 with two equivalents aniline 2 and three equivalents formaldehyde generates the 1,3-diaryl tetrahydropyrimidinedicarboxylate 7 (Eq. 4). Unsymmetrically substituted tetrahydropyrimidines 8 and 9 could also be generated by employing one equivalent of aniline 2 and one equivalent of an amine, e.g., benzylamine, by altering the sequence of addition of amines in refluxing DMF (Eqs. 5 and 6).

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COOR
$$NH_2$$
 ROOC NH_2 (1)

COOR
$$NH_2$$
 NH_2 NH

COOR
$$NH_2$$
 O $AcOH$ $ROOC$ N $AcOH$ $ROOC$ N $AcOH$ $ROOC$ N $AcOH$ $ROOC$ N $AcOH$ $AcOH$ $ROOC$ N $AcOH$ $AcOH$

COOR
$$NH_2$$
 NH_2 NH

COOR
$$NH_2$$
 NH_2 NH

Recently, as an extrapolation of these studies, Jiang et al. reported⁷ an efficient synthesis (70–85% yield) of a number of 3,6-dihydro-(2*H*)-1,3-oxazinedicarboxylates **10** by employing one equivalent of the diester **1**, one equivalent of an aniline **2** and an excess of formaldehyde in methanol in the presence of a catalytic amount of hydrochloric acid. However, perusal of the reported⁷ spectral data (cf. Table 1) for the compounds **10** revealed several anomalies, in particular, in comparison with their aza-analogues, the corresponding tetrahydropyrimidines **7** (cf. Eq. 4).

Table 1 Spectral data reported⁷ for the compound **10** (R=Me)

| IR (KBr) $\nu_{\rm max}/{\rm cm}^{-1}$ | 1 H NMR (400 MHz, CDCl ₃) δ ppm | 13 C NMR (100 MHz, CDCl ₃) δ ppm |
|----------------------------------------|---------------------------------------------------------|----------------------------------------------------------|
| 3395, 3045, | 7.86 (2H, d, <i>J</i> =8 Hz) | 193.2, |
| 2858, | 7.47 (2H, d, <i>J</i> =8 Hz) | 166.4, 156.2, |
| 1769, 1700, | 7.31 (1H, t, <i>J</i> =7.2 Hz) | |
| 1457, 1091, | 4.52 (1H, d, <i>J</i> =10.8 Hz) | 138.3, 129.3, |
| 753 | 4.27 (1H, d, <i>J</i> =10 Hz) | 127.1, 119.5, |
| | 4.00 (1H, d, <i>J</i> =9.2 Hz) | |
| | 3.89 (1H, d, <i>J</i> =9.2 Hz) | 72.8, 59.6, 55.1, |
| | 3.77 (3H, s) and 3.32 (3H, s) | 53.7, 48.9 |

For example, all the compounds exhibited a carbonyl absorption band at around 1770 cm^{-1} (absent in 7) in the IR spectra, which is not accountable for the structure 10. The absorption band at 1770 cm⁻¹ is generally due to either a strained ketone (e.g., 7ketonorbornane) or a butyrolactone or an aryl ester or a pyruvic acid derivative, and cannot be due to a conjugated ester as in 10. In the ¹H NMR spectra, two protons of the aromatic moiety resonated at $\delta \sim 7.8$ ppm, in contrast to those appeared at $\delta 7.23$ in the corresponding pyrimidine 7. The C-2 methylene in 10 resonated upfield at $\delta \sim 4.5$ and 4.3 when compared to the C-2 methylene in the dihydropyrimidines **7** ($\delta \sim 4.8 \text{ ppm}$), instead of shifting to down field (as it is now attached to one oxygen and one nitrogen in 10, instead of two nitrogens as in **7**). Most strikingly, ¹³C NMR spectra contained a signal at $\delta \sim 193$ ppm (resonance most probably due to a ketone carbon) and an extra aliphatic quaternary carbon signal at $\delta \sim 55$ ppm for the compounds 10. The most down field aliphatic methylene carbon in **10** was resonated at $\delta \sim 72$ ppm ($\delta \sim 69$ ppm in 7 for the C-2 carbon), whereas an aliphatic carbon attached to oxygen and nitrogen (C-2 in 10) is expected to resonate in the range of $\sim 80-90$ ppm. These spectral data clearly established that the structure 10 proposed for the product is wrong.

Based on the spectroscopic data, initially, an alternate structure 11 containing a strained ketone (similar to 7-ketonorbornane) and a quaternary aliphatic carbon in addition to an orthoester type carbon (expected to resonate around 120-130 ppm) was considered as a possibility, since it satisfies all the spectral data. However, it is very unlikely that such a strained orthoester will be able to exist under the reaction conditions (presence of aqueous HCl). In order to unambiguously establish the structure of the product, the reaction was reinvestigated. Chloroaniline 2a was opted as the aniline component, and the reaction was performed employing the conditions described by Jiang et al. Thus, reaction of one equivalent of dimethyl acetylenedicarboxylate 1a with one equivalent of p-chloroaniline 2a in the presence of a catalytic amount of hydrochloric acid in methanol, followed by addition of five equivalents of aqueous formaldehyde, under unoptimized conditions, furnished a mixture of two compounds, which were separated by column chromatography on silica gel. The less polar compound was identified as the tetrahydropyrimidine diester 7a by comparing the spectral data with that reported in the literature. 6 The polar compound **12** exhibited spectral data (IR, ¹H and ¹³C NMR) identical to that reported⁷ by Jiang et al. for the 1,4-oxazine diester **10a**.

As mentioned earlier, based on the spectral data a logical structure could not be assigned. In order to unambiguously establish the structure of the product, a single crystal X-ray diffraction

analysis was carried out. Thus, recrystallization of the compound **12** from a mixture of methylene chloride and hexane, followed by single crystal X-ray diffraction analysis (an ORTEP diagram is depicted in Fig 1) revealed the structure of the product as methyl 1-(4-chlorophenyl)-3-(methoxymethyl)-4,5-dioxopyrrolidine-3-

A tentative mechanism for the formation of the ester **12**, considering that the product is formed when only one equivalent of aniline is employed, is depicted in Scheme 1. Initial Michael addition of aniline **2** to the diester **1** generates the enamine **3**. An aza ene type (or Prins type) addition of the enamine **3** to the oxonium

Figure 1. ORTEP diagram of molecule B drawn at 30% probability displacement ellipsoids (the minor occupied site (35%) of the disordered carbonyl oxygen is omitted for clarity; also the hydrogen positions on the disordered carbon atom are not refined).

carboxylate **12**. All the spectral data (IR, ¹H and ¹³C NMR) is consistent with the dioxopyrrolidine⁸ structure **12**, such as the presence of ketone of pyruvate in a five membered ring system (IR and ¹³C NMR), two isolated methylenes (one attached to oxygen and other attached to nitrogen), a quaternary aliphatic carbon (C-3), shift in the aromatic signals due to the acyl group on nitrogen.

ion 13, derived from formaldehyde and methanol, followed by isomerisation of the imine to enamine generates the new enamine 14. Coupling of the nitrogen in 14 with one more equivalent of 13 produces 15, which on elimination of methanol yields the iminium ion 16. Michael addition of water to the conjugated ester in 16 followed by cyclisation leads to the azetidine 17. Generation of the

ketone via cleavage of the C–N bond in **17** followed by intramolecular lactamisation furnishes the product **12**.

3. Conclusions and summary

The 1,3-oxazine based structure **10** assigned for the product obtained in the Bronsted acid catalyzed reaction of dialkyl but-2-y-noates with anilines and an excess of formaldehyde in methanol was deduced to be wrong. The new structure **12** based on 2,3-dioxopyrrolidine-3-carboxylate was established through single crystal X-ray diffraction analysis of the product obtained. A tentative mechanism is proposed for the formation of **12** via the Michael addition product **3**.

4. Experimental section

4.1. General

 1 H (400 MHz) and 13 C (100 MHz) NMR spectra were recorded on a Brucker AMX 400 spectrometers. The chemical shifts (δ ppm) and coupling constants (Hz) are reported in the standard fashion with reference to either internal tetramethylsilane (for 1 H) or the central line (77.0 ppm) of CDCl₃ (for 13 C). In the 13 C NMR spectra, the nature of the carbons (C, CH, CH₂, or CH₃) was determined by recording the DEPT-135 spectra, and is given in parentheses. Elemental analyses were carried out using Carlo Erba 1106 CHN analyzer at the Department of Organic Chemistry, Indian Institute of Science, Bangalore.

4.1.1. Dimethyl 1.3-bis(4-chlorophenyl)-1.2.3.6-tetrahydropyrimidine-4,5-dicarboxylate (7a) and methyl 1-(4-chlorophenyl)-3-(methoxymethyl)-4,5-dioxopyrrolidine-3-carboxylate (12). To a magnetically stirred solution of p-chloroaniline 2a (127 mg, 1 mmol) in methanol (3 mL) was added dimethyl acetylenedicarboxylate 1a (142 mg, 1 mmol). The reaction mixture was stirred for 10 min at rt. To the reaction mixture 0.01 M HCl (2 mL), followed by formaldehyde (39% aqueous solution, 0.4 mL, 5 mmol) were added and stirred for 30 min. The solvent was removed under reduced pressure, water (10 mL) was then added to the reaction mixture and extracted with ether (3×5 mL). The ether extract was washed with brine and dried (Na₂SO₄). Evaporation of the solvent and purification of the residue on a silica gel column using ethyl acetate/hexane (1:9) as eluent first furnished dimethyl 1,3-bis(4-chlorophenyl)-1,2,3,6-tetrahydropyrimidine-4,5-dicarboxylate 7a (110 mg, 52%) as white solid. Mp 127-129 °C; [Found: C, 57.04; H, 4.50; N, 6.93. C₂₀H₁₈ClN₂O₄ requires C, 57.02; H, 4.31; N, 6.65%]; R_f (30% EtOAc/hexane) 0.5; IR (KBr): $v_{\text{max}}/\text{cm}^{-1}$ 2951, 1743, 1703, 1588, 1495, 1435, 1260, 1232, 1214, 1115, 1094, 832; 1 H NMR (400 MHz, CDCl₃): δ 7.23 (2H, d, *J*=8.7 Hz), 7.18 (2H, d, *J*=8.7 Hz), 6.90 (2H, d, *J*=8.7 Hz), 6.80 (2H, d, *I*=8.7 Hz), 4.84 (2H, s, H-2), 4.21 (2H, s, H-6), 3.74 (3H, s, OCH₃), 3.60 (3H, s, OCH₃); 13 C NMR (100 MHz, CDCl₃): δ 165.7 (C, OC=0), 164.2 (C, OC=0), 146.6 (C), 145.9 (C), 142.0 (C), 132.1 (C), 129.5 (2C, CH),

129.2 (2C, CH), 126.2 (C), 126.0 (2C, CH), 119.1 (2C, CH), 101.4 (C, C-5), 68.9 (CH₂, C-2), 52.7 (CH₃), 51.7 (CH₃), 47.4 (CH₂, C-6). Further elution of the column with ethyl acetate/hexane (1:4) furnished methyl 1-(4-chlorophenyl)-3-(methoxymethyl)-4,5-dioxopyrrolidine-3-carboxylate 12 (105 mg, 34%) as a white solid, which was recrystallised from a mixture of methylene chloride and hexane. Mp 164-166 °C; [Found: C, 53.57; H, 4.66; N, 4.83. C₁₄H₁₄ClNO₅ requires C, 53.94; H, 4.53; N, 4.49%]; R_f (30% EtOAc/hexane) 0.4; IR (KBr): $\nu_{\text{max}}/\text{cm}^{-1}$ 3112, 2955, 1776, 1739, 1714, 1495, 1455, 1435, 1309, 1330, 1232, 1100, 1002, 825, 734; ¹H NMR (400 MHz, CDCl₃): δ 7.84 and 7.44 (4H, 2×d, J=8.9 Hz, Ar–H), 4.51 and 4.24 (2H, 2×d, J=10.4 Hz, CH_2OMe), 4.01 and 3.89 (2H, $2\times d$, J=9.0 Hz, H-2), 3.78 (3H, s, COOCH₃), 3.32 (3H, s, CH₂OCH₃); ¹³C NMR (100 MHz, CDCl₃): δ 192.7 (C, C=0), 166.2 (C, OC=0), 156.1 (C, NC=0), 136.9 (C), 132.4 (C), 129.4 (2C, CH), 120.6 (2C, CH), 72.8 (CH₂, CH₂OMe), 59.6 (CH₃, OCH₃), 55.0 (C, C-3), 53.7 (CH₃, OCH₃), 48.8 (CH₂, C-2).

Crystal data for the compound **12**: X-ray data were collected at 291 K on a SMART CCD-BRUKER diffractometer with graphite-monochromated Mo K α radiation (λ =0.71073 Å). The structure was solved by direct methods (SIR 92). Refinement was by full-matrix least-squares procedures on F2 using SHELXL-97. The non-hydrogen atoms were refined anisotropically whereas hydrogen atoms were refined isotropically. Mol. For. C₁₄H₁₄ClNO₅; MW=311.71; colourless; Crystal system: Triclinic; Space group *P*-1; cell parameters, a=6.5900(2) Å, b=7.8571(3) Å, c=15.5749(5) Å; α 78.882(2), β 88.220(2), γ 65.933(2), V=721.50(4) ų, Z=2, D_c =1.435 g cm⁻³, F(000)=324, μ =0.285 mm⁻¹. Total number of l.s. parameters=203, R1=0.0508 for 2235 F0>2 σ (F0) and 0.0921 for all 3761 data. WR2=0.1285, GOF=1.207, restrained GOF=1.207 for all data. An ORTEP diagram is depicted in Figure 1. Crystallographic data has been deposited with Cambridge Crystallographic Data Centre (CCDC 767892).

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